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Attorney's Docket No.: 42390.P8136

Patent

In re the Application of: Kenneth C. Cadien et al.  
(inventor(s))

Application No.: 09/473,391

Filed: December 28, 1999

For: ABRASIVES FOR CHEMICAL MECHANICAL POLISHING

Mail Stop RCE (title)

COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

SIR: Transmitted herewith is a Preliminary Amendment for the above-referenced application.

       Applicant claims small entity status. See 37 CFR 1.27.

  X   No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1) Claims Remaining After Amd.		(Col. 2) Highest No. Previously Paid For	(Col. 3) Present Extra
Total Claims	* 14	Minus	** 20	0
Indep. Claims	* 3	Minus	*** 4	0
	<b>First Presentation of Multiple Dependent Claim(s)</b>			

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

SMALL ENTITY

Rate	Additional Fee
X9	\$
X42	\$
+140	\$
Total Add. Fee	\$

OTHER THAN A  
SMALL ENTITY

Rate	Additional Fee
X18	\$ 0
X84	\$ 0
+280	\$
Total Add. Fee	\$ 0

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on September 12, 2003  
Date of Deposit

Claire Walters

Name of Person Mailing Correspondence

Claire Walters  
Signature

9/12/2003  
Date

\_\_\_\_\_ A check in the amount of \$ \_\_\_\_\_ is attached for presentation of additional claim(s).  
Applicant(s) hereby Petition(s) for an Extension of Time of \_\_\_\_\_ month(s) pursuant to  
37 C.F.R. § 1.136(a).

\_\_\_\_\_ A check for \$ \_\_\_\_\_ is attached for processing fees under 37 C.F.R. § 1.17.

\_\_\_\_\_ Please charge my Deposit Account No. 02-2666 the amount of \$ \_\_\_\_\_.

\_\_\_\_\_ **A duplicate copy of this sheet is enclosed.**

X \_\_\_\_\_ The Under Secretary of Commerce for Intellectual Property and Director of the United States  
Patent and Trademark Office is hereby authorized to charge payment of the following fees associated  
with this communication or credit any overpayment to Deposit Account No. 02-2666 (a duplicate copy  
of this sheet is enclosed):

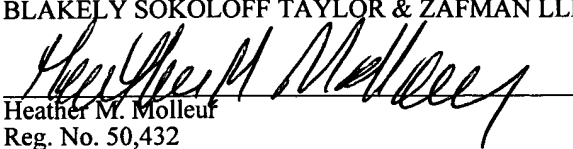
X \_\_\_\_\_ Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of  
extra claims.

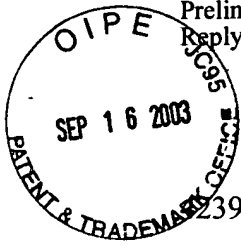
X \_\_\_\_\_ Any extension or petition fees under 37 C.F.R. § 1.17.

Date: 9/12/ 2003

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Appl. No. 09/473,391  
Preliminary Amdt. dated September 12, 2003  
Reply to Office Action of June 12, 2003

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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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U.S. Serial No: 09/473,391

Filed: December 28, 1999

For: ABRASIVES FOR CHEMICAL  
MECHANICAL POLISHING

Mail Stop RCE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Conf. No.: 9927

Examiner: Chen, Kin Chan

Art Unit: 1765

**PRELIMINARY AMENDMENT**

Dear Sir:

In response to the Final Office Action mailed June 12, 2003 and the Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 filed concurrently herewith, Applicant respectfully requests the Examiner to enter this preliminary amendment and consider the remarks prior to examination of the above referenced case.

**FIRST CLASS CERTIFICATE OF MAILING**

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